## JC04 Rec'd PCT/PTO 03 OCT 2005

PTO-1390 (Rev. 02-2005)
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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

OGOSH40USA

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

RICTUP 551732

PCT/JP2004/001915

19 February 2004

TITLE OF INVENTION

Applicant horowith au

### TANTALUM SPUTTERING TARGET AND METHOD OF MANUFACTURING SAME

APPLICANT(S) FOR DO/EO/US

#### Kunihiro Oda and Atsushi Hukushima

Applican	it herewar submits to the offices designated/Liected Office (DO/LO/OS) the following items and other information
1. 🖾	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.

- 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- 3. A This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. 

  The US has been elected (Article 31)
- 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. 

    is attached hereto (required only if not communicated by the International Bureau).
  - b. D has been communicated by the International Bureau.
  - c. I is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. 

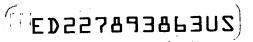
    is attached hereto.
  - b. has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a.  $\square$  are attached hereto (required only if not communication by the International Bureau).
  - b.  $\square$  have been communicated by the International Bureau.
  - c.  $\Box$  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  $\square$  have not been made and will not be made.
- 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11 to 20 below concern document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. First and Second Preliminary Amendments.
- 14. 

  An Application Data Sheet under 37 CFR 1.76.
- 15. A substitute specification.
- 16. A power of attorney and/or change of address letter. –(combined with Declaration, see above)
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825
- 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. Other items or information: Verification of Translation; International Search Report; Request; Demand; Response to the PCT Written Opinion; International Preliminary Examination Report

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed from to the USPTO. Time will pury depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should to sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/JP2004/001915						ATTORNEY'S DOCKET NUMBER OGOSH40USA		
The followi	ng fees have been	submitted		•			Calculations	Office use only
21. 🛭 Basic	21. 🗵 Basic national fee\$300.00							
22. A Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)							\$ 200.00	
23. Search Search fee (37 C an International Sea		\$ 400.00						
1 10	OTAL OF 21, 22 an	d 23 =					\$ 900.00	1
☐ Additional fe	ee for specification a sting or computer p ch additional 50 she Extra Sheets							
				of (round <b>up</b> to a number)				
30 - 100	/50=				:	× \$250		
				ion later than 30 mor	nths		\$	
CLAIMS	claimed priority dat			MBER EXTRA	ı	RATE	\$	l
Total claims		20 =	0	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	×	\$50	\$	]
Independent Cla	ims 3	- 3 =	0		×	\$200	\$	
MULTIPLE DEPI	ENDENT CLAIM(S)	(if applica	ble)		+	\$360	\$	
			T	OTAL OF ABOVE	ALC	JLATIONS =	\$ 0.00	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$	
	-	7,,4		•	;	SUBTOTAL =	\$ 900.00	· ·
	f <b>\$130.00</b> for furnisl priority date (37 CFI			slation later than 30			\$	
_						IONAL FEE =	\$ 900.00	
				1(h)). The assignme 28, 3.31). <b>\$40.00</b> pe	r prop		\$ 900.00	
=				IOIALI	EES	ENCLOSED	\$ 900.00	
Amount to be refunded: Amount to be charged								\$ \$
a. 🛛 A chec	k in the amount of	900.0	0	to co	ver the	e above fees is e	enclosed.	
b. D Please A dupli	· · · · · · · · · · · · · · · · · · ·							
	· ····							
d.  Fees a								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Customer No. 00270							he	
HOWSON AND HOWSON								
Spring House Corporate Center William Ba 321 Norristown Road, Ste. 200 NAME							<u>k</u>	
P.O. Box 457	P.O. Box 457 Spring House, PA 19477							
Spring House,	REGISTRATION NUMBER							

# JC05 Rec'd PCT/PTO 03 0CT 2005 10/551732

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re t	he Application of:	)
		) Examiner:
	Kunihiro Oda et al.	)
	•	) Group Art Unit:
Appli	cation No.:	)
		)
Corre	sponding International Filing No.:	)
	PCT/JP2004/001915	)
		)
Filed: Herewith		)
		)
For:	TANTALUM SPUTTERING	)
	TARGET AND METHOD OF	)
	MANUFACTURING SAME	)

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **VERIFICATION OF TRANSLATION**

Sir:

I, Isamu Ogoshi, having been warned that willful false statements and the like are punishable by fine or imprisonment or both, under section 1001 of Title 18 of the United States Code, and may jeopardize the validity of the above-captioned application and any patent issuing thereon, declare:

- (1) I am a patent attorney authorized to practice law in Japan and am engaged in the practice of law with OGOSHI International Patent Office at Toranomon 9 Mori Bldg. 3F, 2-2, Atago 1-Chome, Minato-ku, Tokyo 105-0002, Japan.
  - (2) I am fluent in the Japanese and English Languages.

- (3) I have reviewed the attached translation, and certify that it is an accurate English translation of the Japanese language international application of Kunihiro Oda and Atsushi Hukushima filed on February 19, 2004 and given International Application No. PCT/JP2004/001915.
- All of the statements made herein of my own knowledge are true and all (4) statements made herein on information and belief are believed to be true.

September 30, 2005 Source Sophi Isamu Ogoshi